

Please return completed form via email at Compliance@CarisLS.com.

I, _____, have read, understand and agree to comply with the Caris Life Sciences® Conflict of Interest Policy. To review the policy, [click here](#).

With regard to any entity that does business, is in competition, or seeks to conduct business with Caris or any of its affiliates, do you or an immediate family member participate in or have any of the following:

a. Financial interest (e.g., ownership or investment);

☐ No ☐ Yes, please describe:

b. Compensation or employment arrangement

☐ No ☐ Yes, please describe:

c. Position of influence (e.g., serve on a board)

☐ No ☐ Yes, please describe:

d. Intellectual property rights (e.g. patents, copyrights, royalties)

☐ No ☐ Yes, please describe:

e. Involvement in clinical research services (e.g. supervising, contracting or budgeting)

☐ No ☐ Yes, please describe:

f. Any other activity/interest that may be, or may be perceived to be, a potential conflict of interest.

☐ No ☐ Yes, please describe:

Certification

I hereby certify that this accurately and completely describes, to the best of my knowledge and belief, all financial and other interests, which are required to be reported under the provisions of the Caris Conflict of Interest Policy.

Typed/Printed Name of Employee

Signature of Employee

Date

Supervisory Review (Internal Use Only)

Reviewed by

Title

Date

This is a representation of an electronic record that was signed electronically and this page is the manifestation of the electronic signatures.

Signatory Table

Action Name	User Name	Title	Signature Date
Send for Review (Written By)	Christopher Holley	Document Control Analyst	26-Aug-2026 12:08
Review	Document Control (Christopher Holley)	Document Control Analyst	26-Aug-2026 12:09
Send for Approval	Christopher Holley	Document Control Analyst	26-Aug-2026 12:09
Approve	Document Control (Christopher Holley)	Document Control Analyst	26-Aug-2026 12:09
QA Approval - Skip Training	Christopher Holley	Document Control Analyst	26-Aug-2026 12:10

* Dates are displayed according to the system time zone: (GMT-07:00) Mountain Standard Time (America/Phoenix)